# Formation of Topological Defects with Explicit Symmetry Breaking

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## **ABSTRACT**

We demonstrate a novel mechanism for the formation of topological defects in a first order phase transition for theories in the presence of small explicit symmetry breaking terms. We carry out numerical simulations of collisions of two bubbles in 2+1 dimensions for a field theory where U(1) global symmetry is spontaneously as well as explicitly broken. In the coalesced region of bubble walls, field oscillations result in the decay of the coalesced portion in a large number of defects (e.g. ten vortices and anti-vortices). We discuss the implications of our results for axionic strings in the early Universe, for baryon formation in quark-gluon plasma, and for strings in liquid crystals in the presence of external electric or magnetic field.

Production and subsequent evolution of topological defects has been of considerable interest for particle physicists in the context of the early Universe [1]. Similar techniques have also been used to study baryon formation during hadronization of quark-gluon plasma (QGP) in heavy ion collisions [2, 3]. Study of topological defects has, of course, been possible in most detailed way only in condensed matter systems where they can be experimentally studied [4].

The aforementioned defects correspond to a spontaneous breakdown of a symmetry. However, there are many situations when the symmetry is also explicitly broken. In particle physics, the Pecci-Quinn scheme for solving the strong-CP problem of quantum chromodynamics leads to the presence of an explicit symmetry breaking term and, consequently, to axionic strings [5]. The Skyrmion picture of baryons in the context of chiral models is another example where explicit symmetry breaking terms are needed to incorporate a non-zero pion mass [3]. In condensed matter, liquid crystals provide a simple example of such systems where the presence of external electric or magnetic fields induces explicit symmetry breaking terms [6]

The study of formation of topological defects in such systems is therefore important as it has implications for a diverse set of phenomena. It has recently been argued that explicit symmetry breaking can lead to a four fold enhancement in the production of baryons in QGP [3]. These arguments were largely qualitative and did not depend sensitively on the order of the phase transition. It was argued in [3] that a similar enhancement should occur for other topological defects as well.

In this letter we demonstrate a new mechanism for the production of topological defects for systems with explicit symmetry breaking and with a first order phase transition, where phase transition proceeds via bubble nucleation. This mechanism leads to a much stronger enhancement in defect production, and results from a combination of the effects discussed in [3] as well as effects coming from the large field oscillations in the region of coalesced bubble walls. The net result is that wall oscillations decay by producing a large number of vortices and antivortices. For example in one simulation, we found 5 vortices and 5 antivortices produced in a single two-bubble collision.

We adopt the same numerical technique as used in previous simulations of vortex formation via bubble collision, see [7]. We will study vortex formation in 2+1 dimensions in a field theory system described by the following Lagrangian

$$L = \frac{1}{2} \partial_{\mu} \Phi^{\dagger} \partial^{\mu} \Phi - \frac{\lambda}{4} \phi^{2} (\phi - \phi_{0})^{2} + \epsilon \phi_{0} \phi^{3} + \kappa \phi_{0}^{2} \phi^{2} cos\theta$$
 (1)

where  $\phi$  and  $\theta$  are the magnitude and the phase of the complex scalar field  $\Phi$  ( $\Phi = \phi e^{i\theta}$ ). This Lagrangian describes a theory where the U(1) global symmetry is spontaneously broken, except for the presence of the last term which breaks this U(1) explicitly. When  $\kappa$  is zero, this theory allows for the existence of a cylindrically symmetric vortex which

is a solution of the time independent field equations. For a non-zero  $\kappa$ , the vortex looses azimuthal symmetry and is not a solution of the time independent equations of motion any more.

For  $\kappa=0$ , the process of vortex creation via bubble nucleation has been described in [7]. At zero temperature, bubbles of true vacuum nucleate via quantum tunneling in the background of the metastable vacuum with  $\phi=0$ . These are described by the bounce solution which is an O(3)-symmetric, least action, solution of the Euclidean field equation [8]

$$\frac{d^2\phi}{dr^2} + \frac{2}{r}\frac{d\phi}{dr} - V'(\phi) = 0 \tag{2}$$

where  $V(\phi)$  is the effective potential in Eq.(1) (with  $\kappa = 0$ ) and r is the radial coordinate in the Euclidean space. In the Minkowski space the profile of the nucleated bubble is obtained from the solution of Eq.(2) by putting t = 0. This bubble then evolves according to the classical field equations obtained from the Lagrangian in Eq.(1) in Minkowski space,

$$\Box \Phi_i = -\frac{\partial V(\Phi)}{\partial \Phi_i}, \ i = 1, 2 \tag{3}$$

where  $\Phi = \Phi_1 + i\Phi_2$ ,  $\square$  is the d'Alembertian and time derivatives of fields are set equal to zero at t = 0. In a phase transition,  $\theta$  varies randomly from one bubble to another. These bubbles expand and vortices form at the junction of three or more bubbles if the phase  $\theta$  traces a nontrivial winding in that region. This is the conventional Kibble mechanism of defect formation [9] which leads to the probability of vortex formation for 2 space dimensions equal to 1/4 per bubble [4].

We wish to study the case when  $\kappa$  is non-zero. First we briefly recall the physical picture described in [3]. Consider a two bubble collision with the phase  $\theta$  in the two bubbles taking values  $\pi + \alpha$  and  $\pi - \alpha$  where  $\alpha$  is small. As the bubbles collide,  $\theta$  in the coalesced portion will assume value  $\pi$  due to the geodesic rule (essentially to minimize energy) and will keep evolving towards zero inside the bubbles. It is then easy to see that this leads to a winding one getting created on one end of the coalesced wall and winding minus one on the other end [3]. It was argued in [3] that this leads to roughly four fold enhancement in the number density of vortex production per bubble.

However, it turns out that the actual dynamics of vortex creation has much richer structure, especially for a first order phase transition. As  $\theta$  in both the bubbles evolves towards zero, the coalesced portion of the walls undergoes large oscillations. Such oscillations have been described in [7] where it was shown (for the case of subcritical bubbles) that, as  $\phi$  undergoes large oscillations, it passes through  $\phi = 0$  forcing  $\theta$  to change to  $\theta + \pi$ .

This flip in the orientation of  $\Phi$  has very important effects on the process of vortex formation. The evolution of  $\theta$  towards zero inside the bubbles tends to create a winding

one near one end and anti winding near the other end inside the coalesced region. The flip in the orientation of  $\Phi$  in the central region completes these windings and results in the nucleation of a vortex-antivortex pair in the coalesced wall.

This explains the formation of the first vortex-antivortex pair. Subsequent pairs are created due to the oscillation of  $\theta$  about  $\theta = 0$ . As  $\theta$  in the two bubbles evolves towards zero, it overshoots the true vacuum (i.e.  $\theta = 0$ ). It is easy to convince oneself that this evolution of  $\theta$  in the two bubbles, combined with the flipping of the orientation of  $\Phi$  due to wall oscillations, will result in the creation of another vortex-antivortex pair. This process continues as long as  $\theta$  and  $\phi$  oscillate about  $\theta = 0$  and  $\phi = 0$  respectively.

It is important to realize that if the  $\phi$  oscillations do not have large enough amplitudes, and associated with it appropriate  $\theta$  oscillations, then vortices are not nucleated. We see this in our simulations where many oscillations of the wall, and that of  $\theta$ , may pass by before a given pair gets nucleated. Also, the sequence of vortices and anti-vortices created on one end of the coalesced region is quite arbitrary, depending on the details of  $\phi$  and  $\theta$  oscillations; though, the net winding number is always zero. This implies that the annihilation of vortex-antivortex pairs may be very ineffective.

We now proceed to describe our numerical results. We find the bubble profile by solving Eq.(2) for  $\kappa = 0$  in  $V(\phi)$ . This bubble profile will be an approximate solution of the field equations obtained from Eq.(1) for small non-zero values of  $\kappa$  and provides an adequate starting point as bubbles collide only after undergoing large expansions. We choose the values of the parameters as,  $\kappa = 0.2$ ,  $\phi_0 = 4.0$ ,  $\lambda = 4.0$ . We use natural system of units with  $\hbar = c = 1$  and measure lengths in terms of  $m_H^{-1}$ , where  $m_H$  is the Higgs mass in the broken phase for the case when  $\kappa$  is zero.  $m_H^{-1} = 0.12$  for the above set of parameters. We have studied a range of values of  $\kappa$ . Large values of  $\kappa$ (> 0.12) do not give any vortex formation as  $\theta$  in bubbles rolls down and settles to zero before bubbles can effectively coalesce. For all other values of  $\kappa$  vortices form (with smaller  $\kappa$  leading to vortex formation at a later stage). The figures shown in this paper correspond to the choice of  $\kappa = 0.06$ .

Following the techniques developed in [7], we study the case of a two bubble collision by prescribing the nucleation centers for the two bubbles. This amounts to replacing a portion of the false vacuum region (with  $\Phi=0$ ) by the profiles of two bubbles. The field configuration is then evolved by using a discretized version of Eq.(3). Simulation is implemented by using a stabilized leapfrog algorithm of second order accuracy in both space and time. We use a  $1000 \times 1400$  lattice with the lattice spacing in spatial directions,  $\Delta x$ , equal to 0.104 (in the units of  $m_H^{-1}$ ) and lattice spacing in the temporal direction,  $\Delta t$ , equal to  $\Delta x/\sqrt{2}$ . With these values, the evolution was completely stable and energy was conserved within a few percent during the simulation. For details of the numerical technique, see [7]. Simulations were carried out on a HP-735 workstation at the Institute of Physics, Bhubaneswar.

Bubble centers were chosen to lie at the y boundaries of the lattice (and at the midpoint of the x axis) so that the initial bubble profiles are that of half bubbles. We use free boundary conditions. Fig.1a shows the plot of  $\Phi$  and the contour plot of  $\phi$  for the initial field configuration of one of the bubbles. Values of  $\theta$  for the two bubbles are taken as 0.56 and 0.44 radians (for the lower and the upper bubbles respectively) and are uniform inside each bubble. This leads to development of a region of  $\theta = \pi$  in the region where bubbles coalesce. Fig.1b shows the plot of  $\Phi$  at an intermediate stage. The bubbles have significantly coalesced and  $\theta$  inside the bubbles has started rotating towards zero. The rotation of  $\theta$  is smaller near the bubble walls due to the dependence of the explicit symmetry breaking term in Eq.(1) on  $\phi^2$ . [This is the reason that, even if the initial values of  $\theta$  in the two bubbles are not close to  $\pi$ , a region of  $\theta = \pi$  developes in the coalesced region and vortices are produced.]

Fig.1c and Fig.1d show the configurations after the first pair has been nucleated. The plot of  $\Phi$  clearly shows that  $\theta$  has overshot the true vacuum ( $\theta = 0$ ). Afterwards,  $\theta$  starts climbing towards  $\pi$  first and then again rolls back towards zero. As described earlier, this will cause creation of subsequent pairs for appropriate  $\phi$  oscillations. Fig.1e and Fig.1f show the plots at a stage when there is a total of 10 vortices and antivortices. Out of these, there are two groups, containing three vortices each (as confirmed by detailed plots of  $\Phi$  of these regions) which are not well separated. Over all there are at least 6 vortices and antivortices which are well separated. Note that, due to the presence of explicit symmetry breaking term, the profiles of these vortices are highly deformed as shown by the contour plots.

In conclusion, we have demonstrated a new mechanism for the formation of topological defects in the presence of explicit symmetry breaking which may dominate over other mechanisms of defect production. A somewhat modified version of this mechanism (due to the absence of coalesced portion of bubble walls) may also be applicable for the case of second order phase transitions. [In this context we mention that in [7] it was found that the number of vortices produced was roughly twice of the estimate based on the Kibble mechanism (though many pairs annihilated quickly). In view of our results in this paper, the excess production in [7] suggests that a nontrivial dynamics of  $\theta$  coupled with  $\phi$  oscillations may contribute to vortex production even when explicit symmetry breaking is absent.] The most interesting aspect of this mechanism is that it is literally a pair creation process, though still governed by classical equations of motion. In this sense, it resembles the pair creation of vortices in the flow of superfluid <sup>4</sup>He through a small orifice, as discussed in [10], though actual mechanisms are completely different. In a subsequent paper we will present the study of full phase transition by nucleating large number of bubbles [11].

Implications of these results are many. Using the ideas described above, it is possible to argue that, in two bubble collisions in 3+1 dimensions, field oscillations should lead to

string loops being emitted out from the coalesced region. For axionic strings in the early Universe, earlier studies have assumed that the formation mechanism is the same as for other cosmic strings, namely, via the Kibble mechanism [5]. Above discussion shows that the dominant mechanism may be via the mechanism discussed in this paper, at least for a first order phase transition. Therefore, the final distribution of axionic strings, and hence the frequency distribution of emitted axions, can be drastically different from what is conventionally taken. Also, as now one expects small string loops to be produced, axionic domain walls may not survive for long. This may make a larger class of axionic models viable.

For the case of liquid crystals in the presence of electric field, our results suggest that, instead of long strings, small string loops should form in the coalesced region of two bubbles. However, in this case, the dynamics of string formation via this mechanism may be completely dominated by the presence of damping terms. The mechanism discussed in this paper should also be applicable to the production of other defects, though the details of the mechanism will depend on the type of defect (and dimensionality of physical space) under consideration. Especially important is the production of baryons (in the Skyrmion picture) in quark-gluon plasma [3]. It is clearly important to investigate the enhancement (which may be very large, as suggested by our results) expected in baryon production due to explicit symmetry breaking terms if the phase transition is of first order.

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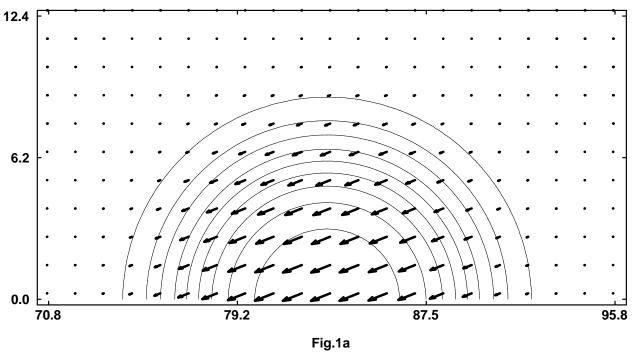
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### FIGURE CAPTIONS

Fig.1: (a) Plot of  $\Phi$  and contour plot of  $\phi$  for the initial configuration of one of the bubbles with center at the boundary. For all  $\Phi$  plots, the orientation of the arrows from positive x axis gives the phase  $\theta$  of  $\Phi$  while the length of arrows is proportional to  $\phi$ . (b) Plot of  $\Phi$  for the coalesced region at t=55.2 showing that  $\theta$  has significantly rotated towards zero in bubble interiors. (c) Contour plot of  $\phi$  at t=62.6 showing a vortex-antivortex pair. (d) Winding numbers of the vortex and the antivortex are clear from the  $\Phi$  plot. (e) Contour plot of  $\phi$  at t=84.7 showing ten vortices and antivortices. There are two groups of three overlapping vortices each, one near x=34 and the other near x=132. These groups have net windings of +1 and -1 respectively. (f)  $\Phi$  plot for a portion of lattice showing winding numbers of at least 2 vortices and 1 antivortex which are well separated. The winding +1 region near x=34 actually consists of closeby configurations of two vortices and one antivortex.

## **Initial Bubble Configuration**



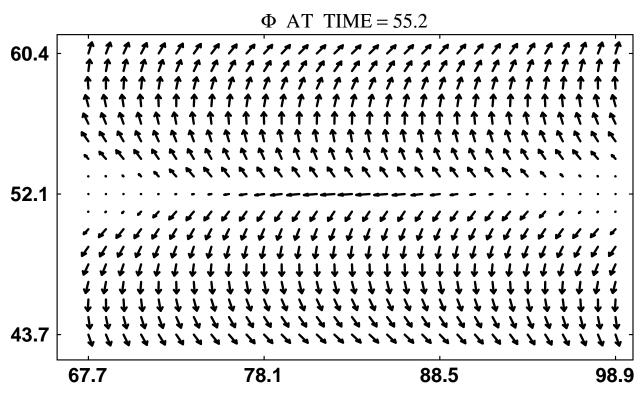
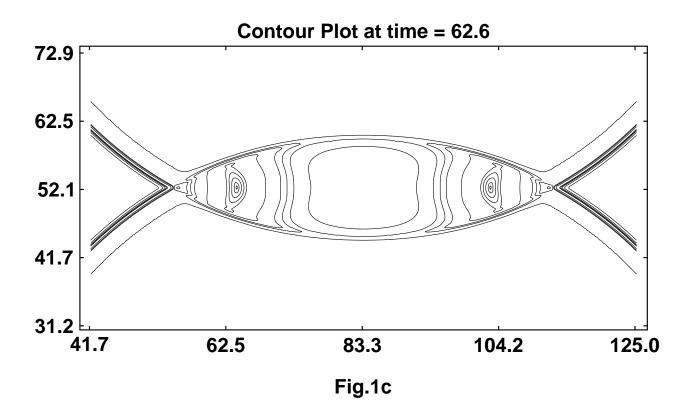


Fig.1b



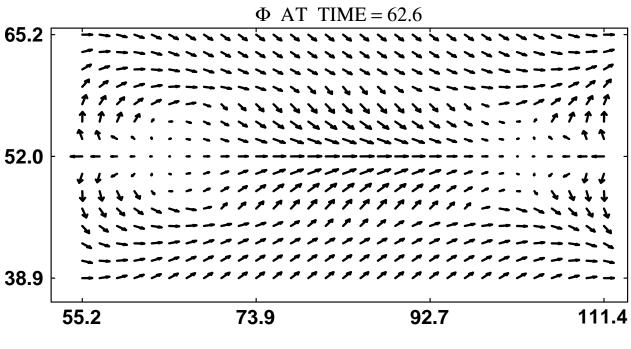


Fig.1d

